



Inside FCA Podcast: What the Consumer Duty means for authorisation

We hope you've been finding these podcasts from the FCA as informative as we have. It's been so useful to have the different areas of the Consumer Duty broken down and delved into.

In this episode of the Inside FCA Podcast focusing on the Consumer Duty, Ozge Ibrahim speaks with technical specialist, Alex MacDermott, about how the Duty affects firms seeking authorisation. Alex talks about what firms will need to demonstrate in their application, why the FCA is asking for information in advance of the implementation deadline and the implications for firms who don't meet the requirements.

Click [here](#) to listen to the podcast.

Join us at our upcoming events....



Webinar: Countdown to Consumer Duty Tuesday 7th March

There is less than six months to go until the next milestone on the Consumer Duty calendar, there is a clear emphasis on firms to deliver good outcomes for their clients at every stage, including those with characteristics of vulnerability. Join us this webinar hosted by London Institute of Banking & Finance, where Worksmart's Nic Dent, Head of Client Engagement and Julie Pardy, Director of Regulation, are joined by Tim Farmer, Clinical Director and Co-Founder of Comentis, where the focus will be on two key aspects of the Consumer Duty

Register now



Webinar: Consumer Duty Through a Training and Competence Lens

Would your organisation be confident to hand over their Training & Competence (T&C) records to the regulator? If asked, could your firm demonstrate the gap analysis and subsequent development plans for each new starter you've onboarded in the last few years? Would being asked to demonstrate "role relevant" training on conduct rules to staff make you start to feel a little uncomfortable? This event will be chaired by PIMFA's Philip Allen with Worksmart's Julie Pardy, Director of Regulation and Nic Dent, Head of Client Engagement will be the guest speakers, so register now to join us on this invaluable webinar!

Register now

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