



Latest Case Study

Janus Henderson Investors

Consumer Duty is highlighting the importance of Governance, Accountability and Competence as key considerations for maintaining the flexibility necessary for supporting and understanding customer need, and then delivering good customer outcomes. It's a sensible argument to suggest that SM&CR and all its related aims and components can be considered as the foundation of delivering against the regulator's key requirements and objectives for the new Consumer Duty.

And let's not forget that it's all about the DATA going forward – with a firm's ability to justify its decisions, behaviours and outcomes resting on reliable and accessible evidence.

This recently published podcast provides access to a comprehensive and insightful case study of how Worksmart's regulatory technologies help the global asset management firm, Janus Henderson meet its SM&CR regulatory obligations and ensure that essential centralised record keeping is clear and accurate, with strong corporate governance, clear accountability and the full set of evidence covering all aspects of employee Fitness and Propriety.

Listen to the full podcast [here](#).

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